

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Klaus Unsicker, Jens Pohl, Michael Paulista and Rolf Bechtold

Application No.: 09/527,275 Group: 1646

Filed: March 17, 2000 Examiner: D. Jiang

For: Cytokines Having Neurotrophic Activity

**RECEIVED**

JUL 17 2001

TECH CENTER 1600/2900

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>July 9, 2001</u>	<u>Betsy S. Kirschner</u>
Date	Signature
<u>Betsy S. Kirschner</u>	
Typed or printed name of person signing certificate	

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is Preliminary Amendment and Reply to Restriction Requirement for filing in the above-identified application.

[X] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)		(COL. 2)		(COL. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	56	MINUS	* 20	36
INDEP	6	MINUS	** 3	3
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

\* not fewer than 20  
\*\* not fewer than 3

SMALL ENTITY	
RATE	ADDIT. FEE
X \$ 9	\$ 324
X \$40	\$ 120
+ \$135	\$

TOTAL = \$ 444

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$18	\$
X \$80	\$
+ \$270	\$

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [            ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>

A check is enclosed in payment of the following fees:

<input checked="" type="checkbox"/>	Petition for one (1) month Extension of Time	\$	<u>55.00</u>
<input checked="" type="checkbox"/>	Amendment Fee	\$	<u>444.00</u>
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>499</u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

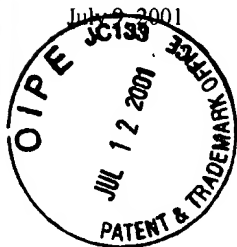
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Doreen M. Hogle  
Doreen M. Hogle  
Registration No.: 36,361  
Telephone: (781) 861-6240  
Facsimile: (781) 861-9540

Lexington, Massachusetts 02421-4799

Dated: July 9, 2001



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PRELIMINARY AMENDMENT AND REPLY TO  
RESTRICTION REQUIREMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

This Preliminary Amendment and Reply to Restriction is being filed in response to the Office Action mailed from the United States Patent and Trademark Office on May 8, 2001, in the above-identified application.

A one month extension of time to respond to the Office Action is respectfully requested. A Petition for Extension of Time and the appropriate fee are being filed concurrently with this Amendment.

07/16/2001 YPOLITE1 00000041 09527275

01 FC:202  
02 FC:203

120.00 OP  
324.00 OP